# UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Plaintiff,

vs. : 1:17-cv-03919-MKV

:

DAVID B. BLASZCZAK CHRISTOPHER M. WORRALL THEODORE J. HUBER JORDAN FOGEL,

Defendants.

\_\_\_\_**:** 

## **STIPULATION OF DISMISSAL**

Pursuant to Federal Rule of Civil Procedure 41(a)(1)(A)(ii), the parties to this action, Plaintiff United States Securities and Exchange Commission and Defendants David B. Blaszczak, Christopher M. Worrall, and Theodore J. Huber<sup>1</sup> hereby stipulate to the dismissal of this action.

Dated: November 17, 2023

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

/s/ Melissa Armstrong
Melissa Armstrong
Carolyn Welshhans
100 F Street, N.E.
Washington, DC 20549
Attorneys for- Plaintiff United States
Securities and Exchange Commission

<sup>&</sup>lt;sup>1</sup> The Court previously entered a consent judgment against Defendant Jordan Fogel. ECF 26. That judgment provided that the SEC could subsequently move for disgorgement and/or a civil money penalty against Fogel. The SEC does not intend to pursue further remedies against Fogel.

#### MORRISON AND FOERSTER LLP

/s/ Jamie A. Levitt

Jamie A. Levitt 250 West 55th Street New York, NY 10019 Attorney for Defendant David B. Blaszczak

#### SHEARMAN & STERLING LLP

/s/ John A. Nathanson

John A. Nathanson Stephen Robert Fishbein 599 Lexington Avenue New York, NY 10022 Attorney for Defendant Christopher M. Worrall

### KRAMER LEVIN NAFTALIS & FRANKEL, LLP

/s/ Dani R. James

Dani R. James Berry H. Berke 1177 Avenue of the Americas New York, NY 10036 Attorneys for Defendant Theodore J. Huber